



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/634,415	08/05/2003	Ryan J. Highland	03-767	7943		
39310	7590	10/14/2008	EXAMINER			
MBHB/TRADING TECHNOLOGIES 300 SOUTH WACKER DRIVE SUITE 3200 CHICAGO, IL 60606			KANERVO, VIRPI H			
ART UNIT		PAPER NUMBER				
3691						
MAIL DATE		DELIVERY MODE				
10/14/2008		PAPER				

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b><i>Interview Summary</i></b>	<b>Application No.</b>	<b>Applicant(s)</b>
	10/634,415	HIGHLAND ET AL.
	<b>Examiner</b>	<b>Art Unit</b>
	VIRPI H. KANERVO	3691

All participants (applicant, applicant's representative, PTO personnel):

(1) VIRPI H. KANERVO. (3) ADAM FAIER.

(2) \_\_\_\_\_. (4) \_\_\_\_\_.

Date of Interview: 08 October 2008.

Type: a) Telephonic b) Video Conference  
c) Personal [copy given to: 1) applicant 2) applicant's representative]

Exhibit shown or demonstration conducted: d) Yes e) No.

If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1,2,17,18 and 22.

Identification of prior art discussed: Silverman (6,625,583 B1).

Agreement with respect to the claims f) was reached. g) was not reached. h) N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The participants discussed the applicability of the prior art reference to the claim element "displaying the annotation in relation to the at least one location corresponding to the at least one price level along the price axis in the market information display region".

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

	/Alexander Kalinowski/ Supervisory Patent Examiner, Art Unit 3691
--	--